

State of Illinois, Rod R. Blagojevich, Governor

Illinois Department of Transportation, Secretary Milton R. Sees

Illinois Department of Revenue, Director Brian A. Hamer

Illinois Department of Central Management Services, Director James P. Sledge

Illinois State Toll Highway Authority



ethics workplace

in the
Seminar 2009

Wednesday, January 7, 2009

8:30 am – 3:30 pm

University of Illinois-Chicago • Student Center East • Room Illinois B
750 South Halsted Street • Chicago, Illinois

Hosted by

Illinois Department of Transportation

Illinois Department of Revenue

Illinois Department of Central Management Services

Illinois State Toll Highway Authority

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AGENDA

8:30AM WELCOME AND NATIONAL ANTHEM

Welcome by **Daniel J. Kennelly**

National Anthem **Vincent E. Rangel**

Opening remarks by **Clayton K. Harris III**
Deputy Chief of Staff to the Governor

Milton R. Sees
Secretary, Illinois Department of Transportation

Presentation by **Anne M. Burke**
Illinois Supreme Court Justice of the First District

BREAK

Presentation by **Rodger A. Heaton**
United States Attorney for the Central District of Illinois

Presentation by **James A. Wright**
Illinois Executive Inspector General

Presentation by **Jack Tieder**
Senior Partner in the law firm of Watt, Tieder, Hoffar & Fitzgerald, L.L.P.

LUNCH BREAK

(lunch is on your own)

1:00PM Presentation by **J. Dennis Hastert**
former United States Speaker of the House of Representatives

BREAK

ROUND TABLE DISCUSSION

Moderator **Clayton K. Harris III**
Dean Martinez, Deputy Governor
Michelle McVicker, Special Agent in Charge USDOT-OIG Chicago Office
Sydney R. Roberts, First Deputy Illinois Inspector General/Chief Operating Officer
James Wolfe, President & CEO, Knight Engineers & Architects
James M. Brennan, Illinois Ethics Commission Member,
Director of Compliance for Reyes Holdings, L.L.C.

3:30PM Closing remarks by **Clayton K. Harris III**



Anne M. Burke - Illinois Supreme Court Justice of the First District

Throughout her long career in public service as a children's advocate and legal professional, Justice Anne M. Burke of the Illinois Supreme Court's First District has endeavored to provide a voice to society's most fragile citizens. She was appointed to the Supreme Court on July 6, 2006. Prior thereto, Justice Burke was appointed to the Appellate Court in 1995 and was elected the following year to the Appellate bench where she served until July 5, 2006. Her judicial career began earlier, in 1987, with an appointment to the Illinois Court of Claims by Governor Jim Thompson. As the first woman to serve in that court, she was later reappointed by Illinois Governor Jim Edgar.

Before her appointment to the judiciary, Justice Burke was a leading advocate on behalf of Chicago's most vulnerable of young people. As a physical education teacher with the Chicago Park District, she worked with children with disabilities. Out of that experience, she went on to found the Chicago Special Olympics in 1968. She later served as a director of that organization as it grew to become the International Special Olympics represented in more than 160 countries.

Following graduation from law school, Anne Burke began a neighborhood law practice that included representing the interests of children and families involving issues of neglect, abuse, delinquency and parental custody. In addition, she developed a very diverse practice that included criminal trial work and defense advocacy.



Rodger Heaton - United States Attorney for the Central District of Illinois

Rodger A. Heaton is the United States Attorney for the Central District of Illinois. In that position, he serves as the chief federal law enforcement officer for the 46 counties that comprise the Central District. Within that district, Mr. Heaton's office represents the United States in all of its civil and criminal litigation and participates in investigations of hundreds of federal crimes each year as well. Mr. Heaton began his career as a federal prosecutor in Indianapolis in 1989 before transferring to the U.S. Attorney's office in the Central District of Illinois, where he served as an Assistant U.S. Attorney from July 1990 until December 2000. From January 2001 to April 2003,

Mr. Heaton was a Litigation Partner at Kirkland & Ellis, Chicago. He returned to the U.S. Attorney's Office in May 2003. Mr. Heaton, a native of central Illinois, received a B.S. degree from the University of Illinois-Urbana, Champaign in 1981, and a J.D., magna cum laude, from the Indiana University School of Law in 1985.



James A. Wright - Executive Inspector General for the Agencies under the Governor

On July 1, 2005, James A. Wright was appointed Executive Inspector General for the Agencies under the Governor. Mr. Wright's credentials include 24 years of legal and professional experience in both public and private sectors. Prior to his most recent appointment Mr. Wright served as Inspector General for the Illinois Tollway. He has served as a Corporate Practices Attorney for the Office of the Comptroller of the Currency, a Trial Attorney for the U.S. Department of Justice, Tax Division, an Assistant U.S. Attorney in the U.S. Attorney's Office for the Northern District of Illinois, Manager of Commercial Litigation for the Law Department of the Chicago Board of Education, and Of Counsel in the Government Division of the Law Offices of Altheimer & Gray. Mr. Wright is licensed to practice before

the U.S. Supreme Court, 7th Circuit Court of Appeals, and the District Court of Illinois.

Mr. Wright has a Masters in Business Administration from Northwestern University's Kellogg Graduate School of Management, has completed the Executive Leadership Program at United Technologies Corporation, and served as Chief of Staff to the Chicago Board of Education. Wright is a Certified Public Accountant with significant auditing experience, and is a nationally certified Inspector General and member of the National and Illinois Chapters of the Association of Inspectors General.

*When I do good, I feel good;
when I do bad, I feel bad. That's my religion.*
-Abraham Lincoln



Jack Tieder - Partner in Watt, Tieder, Hoffar & Fitzgerald, L.L.P.

John B. (Jack) Tieder, Jr. is a senior partner in Watt, Tieder, Hoffar & Fitzgerald, L.L.P., a 100+ person law firm devoted almost exclusively to the practice of Construction Law. The firm has offices in suburban Washington, D.C., San Francisco, and Irvine, California and Las Vegas, Nevada and is affiliated with Heiermann Franke Knipp, the leading German construction law firm, which has offices throughout Germany. He concentrates his practice in major construction matters with a particular emphasis on international projects. He is involved in advising clients on a broad range of issues related to the construction of major infrastructure and energy projects throughout the world. He represents foreign governments, utilities, oil companies and U.S. and international contractors. He advises clients in

all phases of construction from advising on the most effective procurement process to coordinating financing with U.S. and European credit agencies, compliance with international and domestic anti-corruption conventions, standards and laws, to resolving complex disputes through negotiation, mediation, arbitration and litigation. Mr. Tieder graduated from Johns Hopkins University (A.B. 1968) and American University School of Law (J.D. 1971).



J. Dennis Hastert - former United States Speaker of the House of Representatives

Congressman J. Dennis Hastert was honored by his colleagues when he was elected Speaker of the House of Representatives on January 6, 1999 – the third highest elected official in the U.S. government. Serving until January 3, 2007, Hastert became the longest-serving Republican Speaker in history. During his tenure as Speaker, Mr. Hastert focused his efforts on lowering taxes, improving education, strengthening Social Security and Medicare, and fortifying our national defense.

Prior to his resignation, Mr. Hastert served on the House Energy and Commerce Committee as the Ranking Member of the Subcommittee on Energy and Air Quality. He also served on the Telecommunications and the Internet, and Commerce, Trade and Consumer Protection Subcommittees. Prior to his role as Speaker, Hastert served as Chief Deputy Majority Whip in the 104th Congress. Prior to that, Hastert served as Chairman of the House Government Reform and Oversight Subcommittee on National Security, International Affairs and Criminal Justice. He was also the point person on health care reform, and chaired the Speaker's Steering Committee on Health and the Resource Group on Health. Additionally, he helped author the health care reform bill, which was signed into law by President Clinton in 1996 to expand coverage to the uninsured and chaired the House Working Group on Health Care Quality, which ultimately authored the Patient Protection Act.

Mr. Hastert earned his bachelor's degree in economics from Wheaton College in 1964 and his masters in philosophy of education from Northern Illinois University in 1967. Hastert lives in Plano, Illinois, with his wife Jean.

*Even the most rational approach to ethics is
defenseless if there isn't the will to do what is right.*
-Alexander Solzhenitsyn



Dean Martinez - Deputy Governor

Dean Martinez was recently appointed Deputy Governor for the state of Illinois. Mr. Martinez, the son of Cuban immigrants, is the first Latino Deputy Governor in Illinois history. Deputy Governor Martinez has distinguished himself as a true public servant and an accomplished leader. Throughout his career, Mr. Martinez has demonstrated creative leadership and a progressive vision.

Prior to being appointed Deputy Governor, Mr. Martinez served as Secretary of the Illinois Department of Financial and Professional Regulation, a consumer protection agency which resulted from the combining of four former agencies that regulated most of the professions in Illinois. Prior to being named Secretary, Martinez served as Chief of Legislative Affairs and Legal Counsel to the Department of Financial Institutions. While in that capacity, he was detailed to the Governor's Office of Legislative Affairs, where he was responsible for financial and law enforcement related legislation. Martinez started his career as an Assistant State's Attorney in the Appellate Division where he argued before the Illinois Appellate Court. He was promoted to the Felony Narcotics Division where he served the citizens of Illinois by prosecuting persons accused of narcotics violations.

Inspired by his family's journey to America from Communist Cuba, Martinez was eager to pursue a dream of working in public service and started off on that path by obtaining a Bachelor's Degree from DePaul University and a Juris Doctorate from Loyola University Chicago. Today, Martinez, his wife and daughters reside in the city of Chicago.



Clayton K. Harris, III – Deputy Chief of Staff, Office of the Governor

Deputy Chief of Staff Harris received his Bachelors degree in Aerospace Technology from Middle Tennessee State University. He served three years in the Pentagon where he worked in the Ballistic Missile Defense Organization for the Department of Defense. Later, Mr. Harris enrolled in the Howard University School of Law and interned at the Federal Aviation Administration where he researched regulations governing commercial space transportation.

He received his Juris Doctorate Degree from Howard in 1999, and took internships as Assistant State's Attorney, first in Prince George's County (Md.) and then Cook County. Immediately prior to being appointed Deputy Chief of Staff, Mr. Harris was Chief of Staff of the Illinois Department of Transportation. Immediately prior to joining IDOT, Mr. Harris was general counsel for the Chicago Department of Transportation.



Michelle T. McVicker - Special Agent in Charge USDOT-OIG Chicago Office

Michelle T. McVicker graduated from Illinois State University, Normal, IL in May 1990, with a Bachelors of Science Degree in Criminal Justice. She began employment as a Special Agent with the U.S. Department of Transportation, Office of Inspector General, Investigations Division in July of 1990. She began her career in Golden, CO. She has worked in the Los Angeles, California and Chicago, Illinois Offices of DOT/OIG as a Special Agent working various safety and fraud related investigations. Presently, she is the Special Agent-in-Charge of the Chicago Regional Office of DOT/OIG which is comprised of 13 states. SAC McVicker has presented numerous Fraud Awareness and Hazmat Briefings to DOT modes of operation, along with Federal and State Law Enforcement agencies and industry officials. SAC McVicker lives in Inverness, IL with her husband and three children.

There is only one duty, one safe course, and that is to try to be right.

-Winston Churchill

Neither fire nor wind, birth nor death can erase our good deeds.

-Buddha



Sydney Roberts - First Deputy Inspector General for the Office of Executive Inspector

General for the Agencies of the Illinois Governor

As First Deputy Inspector General for the Office of Executive Inspector General for the Agencies of the Illinois Governor, Ms. Roberts works closely with the Executive Inspector General on policy, operations, and investigations. Prior to joining the OEIG, Ms. Roberts worked with the Illinois Department of Human Services Office of Inspector General, serving initially as the Deputy Inspector General until she was appointed the DHS' Inspector General in 2003. Ms. Roberts previously worked as a Lieutenant with the Essex County Prosecutor's Office in Newark, New Jersey, where she spent the majority of her tenure in internal affairs investigating police corruption, conducting background investigations, and administering polygraph examinations. Ms. Roberts is also a former Police Commander of the Maywood Police Department. Her credentials include: B.S. Degree in Criminal Justice University of Delaware; Juris Doctor Degree – Rutgers School of Law; Masters in Criminology and Criminal Justice - Oxford University, Oxford, England; and Graduate of the F.B.I. National Academy. Ms. Roberts is also certified as an Inspector General by the Association of Inspectors General.



James M. Brennan - Illinois Ethics Commission Member and Director of Compliance for Reyes Holdings, L.L.C.

Jim Brennan is Director of Compliance at privately held Reyes Holdings, in Rosemont, one of the largest food and beer distributorships in the world. He is also a Commissioner, and is a former Chair, on the Illinois State Executive Ethics Commission. Brennan is a magna cum laude graduate of Marquette University Law School. For the last ten years he has been a practitioner of corporate ethics and compliance. Prior to that, he practiced commercial litigation law with Quarles & Brady and served as a clerk on the U.S. Court of Appeals for the Seventh Circuit.



James E. Wolfe - President & CEO, Knight Engineers & Architects

Mr. Wolfe is President & CEO, Knight Engineers & Architects and an attorney concentrating on transportation, regulated industries, real estate transactions, the Railway Labor Act and government relations. He has practiced before the Interstate (Surface Transportation Board) and Illinois Commerce Commissions, state and federal courts, Illinois Department of Transportation and Illinois Environmental Protection Agency. As a registered lobbyist, Mr. Wolfe has represented clients before the United States Congress and Illinois General Assembly. Mr. Wolfe oversees a staff of 90 professionals with projects in Illinois, Indiana, Minnesota, Puerto Rico and Wisconsin.

Following graduation from DePaul University College of Law, Mr. Wolfe served as Assistant Chief Counsel and Special Assistant Attorney General for the Illinois Department of Transportation. Mr. Wolfe was later appointed Deputy Director of Inter-Governmental Affairs at IDOT. Following his work with IDOT, Mr. Wolfe was named Director of Government Affairs for Amtrak Intercity. After two years, Mr. Wolfe was asked to take over Amtrak's Midwest operations as General Manager for the Midwest Corridor, responsible for all operations in Illinois, Michigan, Missouri and Wisconsin.

The time is always right to do what is right.

-Martin Luther King, Jr.



Milton R. Sees, P.E. - Secretary, Illinois Department of Transportation

Mr. Sees has 40 plus years experience in a variety of roles related to infrastructure projects. He began his career as an engineer technician with IDOT in 1964. Since that time, he has earned both BSCE and MPA degrees from the University of Illinois. Mr. Sees' career has included experience in both the public and private sectors. He has worked as an agreement relations liaison for the construction materials industry in Springfield, Illinois and Washington, D.C., and recently operated several precast concrete manufacturing facilities in southern Illinois. January 2006 marked Mr. Sees return to IDOT as Director of Highways. Mr. Sees currently serves as Secretary of the Illinois Department of Transportation, overseeing an annual budget in excess of \$8 Billion.



Brian A. Hamer - Director, Illinois Department of Revenue

Mr. Brian A. Hamer is a graduate of Yale University, B.A. 1978, Columbia Law School, J.D. 1982 and was Editor of the Columbia Law Review. He assumed the position of Director for the Illinois Department of Revenue in February 2003. He oversees a staff of 2,200, an operating budget of \$282.6 million and collection of \$32.5 billion annually for state and local governments. Previously Mr. Hamer was the First Deputy Director of Revenue for the City of Chicago, Department of Revenue. Prior to that, Mr. Hamer was the Chief Assistant Corporation Counsel for the City of Chicago, Department of Law.



James P. Sledge - Director, Illinois Department of Central Management Services

A lawyer with more than 15 years of experience and a long history of service to the people of Illinois, Mr. Sledge became Director of Central Management Services in August of 2008. Mr. Sledge previously served not only as the Director of the Illinois Department of Employment Security but also as the Executive Director of the Illinois Human Rights Commission. After receiving his law degree from the University of Notre Dame in 1992, Mr. Sledge went into private practice and became involved with local, state and national politics. Mr. Sledge began his career in public service with the Cook County Board, where he served on the task force examining the feasibility of a new Cook County Hospital. In 1995, Director Sledge became a prosecutor with the Child Support Enforcement Division of the Cook

County State's Attorney's office.

PLANNING COMMITTEE

Clayton K. Harris III, Deputy Chief of Staff for the Governor

Daniel J. Kennelly, Illinois Department of Transportation, Director of the Office of Quality Compliance and Review

Helen Cashman, Illinois Department of Central Management Services, Deputy Chief Operating Officer

Tracey E. Smith, Illinois State Toll Highway Authority, Chief of Administration

John McCaffrey, Illinois Department of Revenue, General Counsel and Ethics Officer

*A long habit of not thinking a thing wrong
gives it a superficial appearance of being right.*

-Thomas Paine

Honor is better than honors.
-Abraham Lincoln

